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# ESMT Working Paper

## THE IMPACT OF SCHOOL LUNCHES ON PRIMARY SCHOOL ENROLLMENT

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EVIDENCE FROM INDIA'S MIDDAY MEAL SCHEME

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# Abstract

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## The impact of school lunches on primary school enrollment: Evidence from India's midday meal scheme<sup>+</sup>

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At the end of 2001, the Indian Supreme Court issued a directive ordering states to institute school lunches - known locally as "midday meals" - in government primary schools. This paper provides a large-scale assessment of the enrollment effects of India's midday meal scheme, which offers warm lunches, free of cost, to 120 million primary school children across India and is the largest school feeding program in the world. To isolate the causal effect of the policy, we make use of staggered implementation across Indian states in government but not private schools. Using a panel data set of almost 500,000 schools observed annually from 2002 to 2004, we find that midday meals result in substantial increases in primary school enrollment, driven by early primary school responses to the program. Our results are robust to a wide range of specification tests.

**Keywords:** primary school enrollment, school lunches, natural experiment, ITT

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## 1. INTRODUCTION

Education is thought to be central to economic development. Beneficial in and of itself, it is also viewed as a major contributor to human capital, leading to higher productivity and living standards. Primary education is thought to be associated with especially high returns.<sup>1</sup> Its importance is enshrined in the Millennium Development Goals (MDGs), which call for universal primary education by 2015.

In fact, primary education is far from universal and this MDG remains elusive. UNICEF (2008), the agency responsible for tracking progress on this MDG, estimates a net primary school enrollment rate in developing countries of 84 per cent; this is also its estimated average for India. In view of this, governments across the developing world have instituted a wide range of policies aimed at encouraging school enrollment.

School lunches are one such policy. They are thought to increase enrollment through two main channels.<sup>2</sup> First, they lower the cost of schooling, thereby providing an implicit subsidy to parents. Second, by improving child nutrition school lunches are thought to foster learning, thereby increasing the returns to education. School feeding programs are popular in the developing world and beyond. Despite a large empirical literature on the relationship between feeding programs and educational attainment, reviewed in Bundy et al. (2009), there have, to the best of our knowledge, been no large-scale assessments of their causal impact on enrollment (Adelman et al. 2007, p.2).

This paper fills this gap by providing a large-scale impact assessment of India's free school lunch program – known locally as the “midday meal” scheme – on primary school enrollment. India's midday meal scheme is the largest school nutrition program in the world. In 2006, it provided lunch to 120 million children in government primary schools every school day (Kingdon 2007). We exploit a quasi-natural experiment in order to identify the causal impact of midday meals on primary school enrollment using a large school-level panel data set, the District Information System for Education (DISE). Our sample contains almost 500,000 primary schools in 15 major states across India, observed annually in academic years 2002/3, 2003/4 and 2004/5 (referred to hereafter as 2002, 2003 and 2004).

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<sup>1</sup>Psacharopoulos and Patrinos (2002) estimate private returns to primary education of over 25%, while Duflo (2001) finds in a developing country context between 6.8 and 10.6 % returns to education from primary school.

<sup>2</sup>These are widely documented. See, for example, PROBE (1999), Drèze and Goyal (2003) and Kremer and Vermeersch (2004).

Identification of a causal effect comes from state-level variation in the implementation of a 2001 Indian Supreme Court directive, which was instigated by public interest litigation aimed at redressing starvation. The directive ordered states to institute mid-day meals in government primary schools (referred to hereafter as public schools). Prior to 2001, only two states had universal public primary school midday meal provision.<sup>3</sup> Over the subsequent three years, however, state governments across India introduced midday meals.

Two main sources of variation are used in assessing the impact of midday meals: the date on which states introduced midday meals in primary schools, and the fact that (in accordance with the Supreme Court directive) they were introduced in public, but not private primary schools. Since the directive was addressed nation-wide, concerns regarding program placement bias are alleviated. Moreover, staggered implementation at the state level in public but not private schools allows us to treat all private schools as well as public schools in states not yet implementing the program, as a quasi-control group for public schools in states which introduced midday meals.

We find that midday meals lead to large and statistically significant increases in primary school enrollment. Our main triple difference intent to treat (ITT) estimates point to a statistically significant 13% increase in primary school enrollment, amounting to around 14 additional students in each primary school. If newly enrolled children were all of primary-school age (6-10 years), this would imply that midday meals increased the net primary school enrollment rate from 84% (in 2002) to 95%.

The enrollment response to midday meals, although positive across all grades, is driven by a large and statistically significant response in grade 1. In grade 1, enrollment increases by approximately 21%. The magnitude of the estimate reflects the fact that grade 1 absorbs *all* new enrollments, which includes both under-aged children (typically 5-year-olds) as well as children over 6 years of age. In fact, since the net enrollment rate in grade 1 is likely to have been close to 100% in 2002, older and younger children are likely to account for *most* of the grade 1 enrollment increase.

In higher grades the response remains positive, with smaller point estimates and statistically insignificant coefficients across all specifications. In part, this pattern reflects the fact that whereas grade 1 picks up *all* new enrollments, upper grades only pick up dropouts: a child can only enroll in grades  $G = 2, 3, 4, 5$  if he or she was enrolled in grade  $G - 1$ . Since dropout rates in grades 2-5 are low (see Table 3), there is limited scope for midday meals to increase enrollment in the first few years of the programme exposure studied here.

<sup>3</sup>These two states were Tamil Nadu and Gujarat. A third state, Kerala, had an opt-in program.

The decreasing marginal response to midday meals in higher grades is also, however, likely to reflect the fact that the relative value of the implicit subsidy declines with grade, since direct costs (such as textbooks or uniforms) as well as opportunity costs (in terms of the value of household production or wage income) are larger in higher grades, while the value of the subsidy remains constant.

These results are robust to a wide range of specification tests. We demonstrate that program timing is not associated with different initial schooling input levels, or trends in enrollment outcomes. We further provide robustness checks which indicate that our results are not driven by the timing of implementation. Our main results are virtually unchanged for a matched sample of public and private schools; and we provide some evidence that enrollment in private schools did not respond to midday meal introduction, suggesting that private schools are a legitimate control group. Neither were there contemporaneous changes in relative inputs in public versus private schools, and this alleviates concerns regarding confounding policy changes.

In addition to DISE, we exploit cross-sectional household and school survey data from the Indian Human Development Survey (IHDS) 2005. Although our results are only suggestive, given the cross-sectional nature of the data as well as its timing (after midday meals were introduced across India), these data nevertheless permit us to extend our analysis in two ways. First, we explore whether the positive enrollment response associated with midday meal provision is driven by more disadvantaged segments of the population, who are both least likely to be enrolled, and most likely to be responsive to a food subsidy. The data confirm that more disadvantaged socio-economic groups display the largest enrollment responses.

Second, we examine whether midday meal provision is associated with improved schooling outcomes on two additional dimensions, namely attendance, and learning (as measured by separate test scores for reading, writing and mathematics among 8 to 11 year-olds.) We find that midday meals are associated with improved attendance. This makes sense given that school lunches are consumed on school premises at noon, so children only benefit from this subsidy to the extent that they actually attend school. At the same time, midday meals are not associated with improved learning. This indicates that the positive enrollment response we observe in our quasi-experimental setting may be driven by the implicit subsidy channel rather than by nutrition-induced improvements in learning.

This paper contributes to a growing literature which relies on natural experiments to assess the impact of schooling policies on schooling outcomes.<sup>4</sup> Within the natural experiments literature, this paper most closely follows Duflo (2001), who examines the effect of a large school building program in Indonesia on educational attainment and wages, and Chin (2005) who assesses India's Operation Blackboard (which introduced additional teachers), in that the natural experiment here *directly* concerns variation in the policy variable.

Our paper also complements a recent literature, which uses randomized trials to evaluate the effect of school feeding programs on school participation. Powell et al. (1998), Jacoby E. and E. (1996) and (in pre-school) Kremer and Vermeersch (2004) each find increased participation resulting from breakfast provision in Jamaica, Peru and Kenya, respectively. And Kazianga et al. (2009) find that school lunches as well as take home rations increase new enrollment for girls by 5 to 6 percentage points. Identification in our quasi-experimental setting is unlikely to be as clean as it is in these carefully conducted randomized trials. Nevertheless, there are two strengths to our approach. First, it enables an impact assessment of the world's largest nutrition program in a country which has the largest number of out-of-school children in the world. Second, its large-scale nature allays concerns about generalizability, to which smaller-scale studies are sometimes prey.

Finally, our findings generally corroborate the positive enrollment effect documented in smaller-scale non-experimental survey-based assessments of midday meal provision in India. Our grade 1 enrollment effect is similar to Drèze and Goyal (2003), who find an 18%, 11%, and 14% increase in grade 1 enrollment in their Rajasthan, Chhattisgarh and Karnataka villages, respectively; but substantially smaller than the 36% increase in grade 1 enrollment found by Jain and Shah (2005) in their 70 Madhya Pradesh schools. The 13% primary school enrollment response we find in our DISE data, is also considerably smaller than the 23% increase in primary school enrollment found by Khera (2002) in her 63 Rajasthan schools. Since previous small-scale studies have measured the effect of midday meal provision – which is likely to be an endogenous outcome at the local level – often in relatively under-developed villages (such as Madhya Pradesh and Rajasthan), our results suggest that the problem of purposive placement may have resulted in an upward bias of previous estimates.<sup>5</sup> A naive comparison of

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<sup>4</sup>See Kremer and Glewwe (2005) for a review of this literature and Hanushek (1995) for a critique of earlier studies.

<sup>5</sup>In this sense, our results corroborate Afridi (2007), who exploits staggered implementation using a double difference strategy in 41 Madhya Pradesh villages, and finds a similarly muted response in enrollment and attendance.

our estimate with these previous studies does suggest, however, that the magnitude of the response we observe here is entirely plausible.

The paper proceeds as follows. Section 2 provides background regarding the Supreme Court directive and the midday meal scheme, together with a discussion of its implementation and content. Section 3 describes the DISE data, and Section 4 presents our empirical strategy. Our empirical results using DISE data, including specification tests, are presented in Section 5. Section 6 presents an extension regarding heterogeneous responses, attendance, and learning associated with midday meal provision using IHDS data, and Section 7 concludes.

## 2. MIDDAY MEALS

**2.1. Background.** In India, primary school education typically covers grades 1-5, and is the joint responsibility of central and state governments. The central government generally issues guidelines and provides funding, but policy implementation is a state-level decision. The central government has a long-standing commitment to the provision of midday meals. As early as August, 1995, The National Program of Nutritional Support to Primary Education mandated cooked meals in all public primary schools. Not a single state responded to this universal mandate. (Kerala responded, but only by offering an opt-in program which resulted in partial coverage in public primary schools.) Two states had, by this time, long established universal midday meal provision in public primary schools. Tamil Nadu, a state in the Southeast, was a pioneer. Its state-wide midday meal program was launched in 1982 at the personal behest of its then-Chief Minister M.G. Ramachandran, who cited as his motivation early childhood experiences with hunger (Harriss 1991, p.10). Gujarat, a state in Central-west India, followed suit in 1984.<sup>6</sup>

Between 2002 and 2004, however, most Indian states instituted universal midday meals in public primary schools. This wave was precipitated by a severe drought that hit several states in 2001.<sup>7</sup> Reports of drought-related starvation deaths in the press instigated a public interest litigation. In April, 2001 the People’s Union for Civil

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<sup>6</sup>Most other states provided “dry rations” to enrolled children who attended school, which typically comprised 3 kg. per month of raw wheat or rice grains (depending on local consumption habits). By many accounts, the distribution of these dry rations was sporadic, of low quality and conditional attendance requirements went unenforced (see for example, PROBE (1999)). Moreover, there is evidence of extensive leakage in this dry rations program, in the sense that children enrolled in private schools also received dry rations (see, for example Muralidharan (2006)).

<sup>7</sup>There were 7 drought-affected states in 2001: Gujarat, Rajasthan, Maharashtra, Orissa, Madhya Pradesh, Chhattisgarh, and Andhra Pradesh (Down to Earth, Vol. 10, Issue 20010615, June 2001). They include both early and late implementers of midday meals.



Liberties (PUCL), Rajasthan, submitted a writ petition to the Supreme Court pointing out that “while on the one hand the stocks of food grains in the country are more than the capacity of storage facilities, on the other there are reports from various states alleging starvation deaths.”<sup>8</sup> The PUCL documented that, despite their protests to the contrary, states could in fact afford to widen a number of statutory food and nutrition programs, including the midday meal scheme in schools. The writ urged the court to instruct the Government to release public food stocks, arguing that the right to life under Article 21 of the Indian Constitution included the right to food.<sup>9</sup> The petition has culminated in protracted public interest litigation which is yet to be concluded.<sup>10</sup>

Nevertheless, on November 28, 2001 the Supreme Court issued an interim order directing states to introduce cooked midday meals, i.e. a warm school lunch, in all public schools, but *not* in private schools. More specifically, the directive said, “Every child in every government and government-assisted school should be given a prepared midday meal”.

**2.2. Implementation.** Implementation of this and other Supreme Court directives are left to the relevant executive branch of government (Desai and Muralidhar 2000). In this case, state governments were responsible for introducing midday meals.<sup>11</sup> To examine the effects of this policy change on schooling outcomes, we gathered information on the policy implementation in public schools from state documents and then cross-checked this information using at least two (and usually, more) independent sources (see Appendix A for meal contents by state and list of state documents, independent monitors, auditors, field surveys and news articles).<sup>12</sup>

The result of this exercise is described in Table 1. Column 1 lists the 15 states which are covered in the data for our school-level analysis, and Column 2 indicates the month

<sup>8</sup>Rajasthan PUCL Writ in Supreme Court on Famine Deaths, PUCL Bulletin, November 2001.

<sup>9</sup>Article 21 of the Constitution of India is entitled “Protection of life and personal liberty”. It states, in its entirety, “No person shall be deprived of his life or personal liberty except according to procedure established by law.”

<sup>10</sup>PUCL vs Union of India and Others, Writ Petition [Civil] 196 of 2001. The Right To Food Campaign has been closely monitoring the developments associated with this case and maintains an extremely informative website at [www.righttofoodindia.org](http://www.righttofoodindia.org).

<sup>11</sup>As Gauri (2009, p.2) notes, “courts do not and cannot enforce many of their broad directives”. For this reason, estimating the intent-to-treat by using the Supreme Court directive as a source of exogenous variation at the national level is not particularly meaningful.

<sup>12</sup>In the case of Andhra Pradesh, there was a discrepancy between independent sources and state documents. The Comptroller and Auditor General of India claimed November 2003 implementation and a best practice report of NUEPA put the date at 2001. We chose January 2003, as this was the date provided by the state documents, 6 reports of the Commissioner of India and numerous press reports. Dropping Andhra Pradesh from the sample or changing its implementation year to 2001 or 2004, has no qualitative bearing on our estimates.

and year in which the corresponding state is documented to have introduced a midday meal. Note that this does not necessarily mean that midday meals were in fact on the ground in every public school in the state.<sup>13</sup> Since, as we elaborate in Section 3, enrollment figures are recorded as on September 30th of any given year, we regard a state as having instituted a midday meal policy if its implementation took place before September 30th in the corresponding academic year. The last column of the table documents the year of initial treatment according to this criterion.

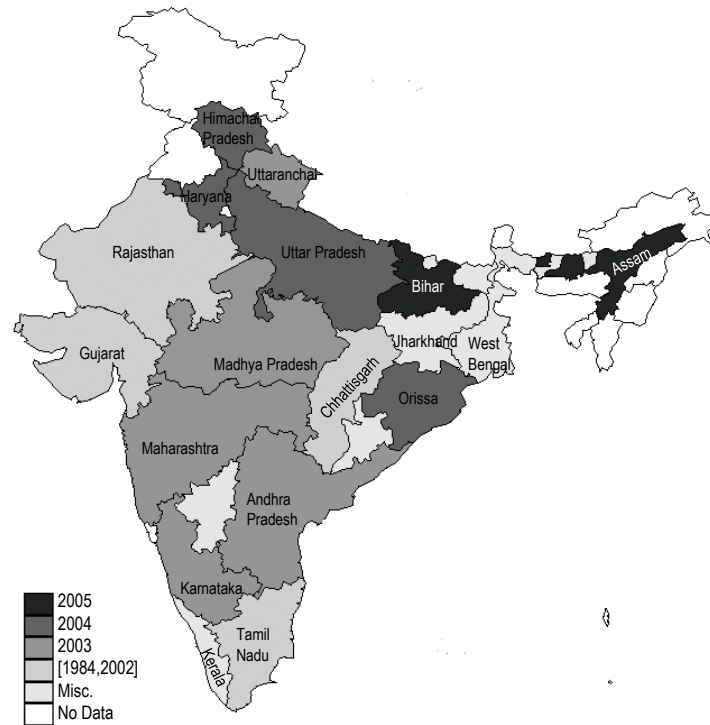
Data from three additional states – Jharkhand, Kerala and West Bengal – were available from DISE but are not used in our main analysis due to poor documentation of partial implementation, and potential purposive placement.<sup>14</sup> Finally, also due to worries of purposive placement, we dropped from our main sample 28 districts (in 2001 India had 593 districts) from Assam, Bihar, Karnataka and Orissa as well as all tribal blocks from Madhya Pradesh in which the midday meal scheme was implemented earlier. (We use the short hand “pilot” to refer to these tribal Madhya Pradesh regions too.) Nevertheless, as we show in our specification checks, the addition of these pilot regions, as well as Jharkhand, Kerala and West Bengal does not change the results.

The wide geographic coverage of our data and state-level variation in the date of implementation, evident in Table 1, are graphically displayed in Figure 1. Together, the states covered in our data house over 80% of the Indian population according to the 2001 Census of India. Pertinently, the geographic pattern in terms of timing of implementation is mixed. For example, pioneers (Tamil Nadu and Gujarat) come from South and Central India. Early implementers include not only the “usual suspects” in Southern India, Andhra Pradesh and Karnataka, but also surprising candidates

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<sup>13</sup>Data limitations make it difficult to verify the proportion of public schools which actually provided midday meals during our observation period. Household surveys are not conducted annually and rarely pose a midday meal consumption question. Deaton and Drèze (2006) assert, moreover, that at least in the National Sample Surveys (NSS), midday meal consumption is underreported. The school survey data from IHDS 2005 (which we describe in Section 6) indicate, however, that in states which we classify as having been treated by 2005, 84% of schools covered in the public school survey are reported as providing midday meals. This suggests that the vast majority of schools which we consider as treated in our ITT framework are, in fact, treated.

<sup>14</sup>Jharkhand instituted midday meals in November 2003 as a pilot project, but we are unable to ascertain where these pilots were implemented. We could also not verify when full coverage was announced as having been achieved. West Bengal started a midday meal roll out in January 2003. We could not find documentation for the placement, and full coverage is yet to be achieved. Kerala, as mentioned earlier, allows schools to opt-in to the midday meal scheme, and this raises concerns of selection bias.



Note: This map depicts the geographic coverage of DISE 2002-2004. "Misc." refers to pilot regions, Kerala, Jharkhand and West Bengal.

FIGURE 1. DISE Data Coverage and Midday Meal Implementation

like Rajasthan and Chhattisgarh. The so-called “BIMARU” states include late implementers (Bihar), middle implementers (MADhya Pradesh and Uttar Pradesh) and early implementers (Rajasthan).<sup>15</sup>

Idiosyncratic timing in implementation has been attributed to successful pressure applied by civil society. In particular, the initial 6-month deadline set by the Supreme Court was without exception breached, with states complaining that they did not have sufficient funding to implement the policy. This excuse was widely dismissed by the

<sup>15</sup>The acronym comes from its resemblance to the Hindi word “bimar”, meaning sick. These 4 states have among the lowest domestic products in the country. The fact that Bihar and Assam, two “late” implementers in our sample, also have rather poor economic educational characteristics does not obviously detract from our claim of idiosyncratic timing in light of the fact that Punjab and West Bengal – two states which are not marked on this map but have reasonably advanced economic and educational outcomes – also had not fully implemented midday meals by 2004.

media, two Supreme Court commissioners, and the activist community, who instead blamed the “lack of political, bureaucratic and societal will” for state governments’ recalcitrance (Parikh and Yasmeen (2004); Drèze and Goyal (2003) and Zaidi (2005) make similar claims.) State government inaction spurred grassroots activists, coordinated by India’s Right to Food Campaign which had grown out of the PUCL’s Supreme Court litigation efforts, to start public mobilization efforts. It was these efforts, supported by continued monitoring and chastisement on the part of two commissioners as well as media, which compelled states to comply with the Supreme Court directive (see Sharma et al. (2006) and Khera (2006)).

**2.3. Financing and Content.** The midday meal scheme is a joint undertaking of central and state governments. During our observation period, the central government provided financial assistance to cover the cost of food grains and their transport. In particular, The Food Corporation of India (FCI), an institution set up in 1964 to support the operation of the central government’s food policies, provided states free supply of food grains from the nearest of its warehouses. Provision for each student with 100 grams of wheat or rice per day cost the central government approximately Rs. 1.11 (NPNSPE 2004). In principle, fair average quality of the grains was also guaranteed, with the FCI being responsible for replacing the grains otherwise. The transport subsidy to carry the grains from the nearest FCI warehouse to the primary school was set at a maximum of Rs. 50 per quintal, amounting to an average transport subsidy of Rs. 0.05 per child per school day.<sup>16</sup> The total value of the central government subsidy between 2002-2004 therefore amounted to Rs. 1.16 per child per school day.

The Supreme Court’s 2001 directive mandated that midday meals have “a minimum content of 300 calories and 8-12 grams of protein each day of school; for a minimum of 200 days a year.” The overall responsibility for implementation of this directive lies with state governments, who supplement the central government’s contributions to varying degrees.<sup>17</sup> Day-to-day operations lie in the hands of local government bodies,

<sup>16</sup>This figure is calculated from NPNSPE (2004, Section 3.4) which states that at the end of 2004, i.e. after our period of observation, the transport subsidy grew by one third, namely to Rs. 75 per quintal, which amounted to an average of Rs. 0.08 per child per school day. Following our observation period, an additional Rs. 1 per child per school day was contributed by the central government towards cooking costs, comprising cost of ingredients other than grains, including vegetables, cooking oil, and condiments, as well as the cost of fuel and wages for personnel.

<sup>17</sup>These supplements are non-transparent and poorly documented, but available evidence suggests that there is no obvious correlation between supplements and timing of midday meal implementation. For example, Tamil Nadu (an early implementer) and Andhra Pradesh (which implemented in 2003) both contributed Rs. 1 per child per day towards cooking costs in 2005, whereas Rajasthan and Chattisgarh, which implemented earlier than Andhra Pradesh, contributed little towards cooking costs (Secretariat of the Right to Food Campaign 2005).

typically village governments (panchayats), who sometimes delegate implementation to local Parent Teacher Associations (PTAs) or NGOs.

In practice, the meal itself tends to be a simple affair. At around midday children sit at their plates, which are typically set on the ground, where they are served a cooked meal prepared on site, usually by a cook who is hired for this purpose. The meal comprises cooked rice or wheat (depending on the local staple), mixed with lentils or jaggery, and sometimes supplemented with oil, vegetables, fruits, nuts, eggs or dessert at the local level (see Appendix A for details on meal content by state). Eye-witness accounts (from present company included) note that, although the quality and variety of the meal varies from district to district or even school to school, children seem to enjoy their lunch (see, for example, Drèze and Goyal (2003)).

### 3. DATA

In order to execute a large-scale evaluation of the midday meal program we use the District Information System for Education (DISE), which is the “most comprehensive information system in the education sector” in India (Ward 2007, p. 291). DISE is a school-level data set covering government-recognized elementary institutions. It is a joint initiative of the Government of India, UNICEF and the National University of Educational Planning and Administration (NUEPA), and came into being explicitly because of a lack of reliable statistical databases for education in India (Mehta 2007). Initiated on a pilot basis in 1995 to monitor schooling inputs and enrollment outcomes for those districts covered by the District Primary School Education Programme (DPEP), DISE was gradually rolled out to cover non-DPEP districts. Starting from 2002, DISE achieved coverage of all districts of the 18 states mentioned in Section 2, where it was initially launched (DISE 2008).

Data is collected annually, and reflects primary school characteristics (such as infrastructure and staff) as well as student enrollment as on September 30th of the respective year.<sup>18</sup> School headmasters answer a nationally standardized school survey questionnaire. The data is verified and manually checked at various stages from lower to higher levels of administration. At the cluster level, responses are verified for completeness and accuracy. The data is then aggregated at the district level, where it is checked for computational and consistency errors. Further consistency checks take

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<sup>18</sup>During our observation period, enrollment data was consistently collected only for grades 1 - 5, and not for secondary or upper-secondary school. Although age-disaggregated enrollment, as well as non-enrollment outcomes such as exam results, attendance, failure, drop-out and readmission questions were posed in the DISE survey, these data are missing for the vast majority of schools, and are riddled with measurement error and inconsistencies even when they exist.

place at the state level. In addition to these measures, the NUEPA has commissioned post-enumeration audits through external agencies, so as to verify the accuracy of the data provided by the school headmasters. In these audits, 5% of schools chosen randomly from at least 10% of districts from each state were thus cross-checked with site visits (Kaushal 2009). The major findings of these surveys is that the total enrollment figures for primary school are overwhelmingly accurate. Systematic errors were, however, found in responses to questions which were either unclear, or open to subjective interpretation. Hence, we refrain from using variables which capture qualitative assessments. For example, rather than construct a variable capturing the quality of classroom infrastructure, we use the total number of classrooms in the school.

We exploit a three year balanced panel of 491,253 schools over the academic years 2002/03 to 2004/05.<sup>19</sup> We consider public and private primary schools. Private schools in Indian school system parlance are, in the context of our data, “unaided schools”. What we call public schools in our sample are government owned and operated schools; they are not so-called “government aided” schools. Government aided schools were dropped since the documentation is opaque as to when and whether these schools were covered by the midday meal program at the state level. They constituted 4.90% of the full 2002-2004 data set, and including them in the analysis as either part of the treatment or quasi-control groups does not alter the results.

Private schools constitute 6.53% of our sample. The distribution of public and private schools among states in our sample can be seen in Table 2. The former closely follows the state population distribution.

We estimate enrollment responses separately for grades 1 to 5, as well as for primary school as a whole. Table 3 furnishes 2002 means of enrollment and of schooling inputs, which we use in our specification tests. It indicates that average enrollment in primary schools is just above 122 students, with a low average attrition of between 2-3 students per year between grades 2-5. On average, a primary school has about 3 classrooms, 1 additional room, 2 teachers, 0.4 non-teaching staff (including para-teachers), 4 blackboards and 1.6 “trunks” of teaching materials. Just half of the schools have a playground, one fifth have electricity, 80% of schools have water, and the majority does not have toilets; 97% teach in the vernacular. In our estimations, we control for

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<sup>19</sup>These are the only years for which data for all DISE districts were made available to us. Prior data would, however, not have been representative at the state level, since survey coverage in previous years was substantially more limited, and restricted overwhelmingly to educationally underdeveloped districts within each state vis à vis education.

these inputs and also create a matched sample based on these observable schooling characteristics.

#### 4. EMPIRICAL STRATEGY

**4.1. Approach.** To study the impact of the midday meal policy on primary school enrollment, we exploit the variation created by its staggered introduction in public primary schools throughout India.<sup>20</sup> We employ an intent-to-treat (ITT) analysis throughout (see for example, Imbens and Rubin (1997)). In particular, all public schools located in a state which has been documented as having implemented the Supreme Court directive at time  $t$  and thereafter (see Table 1) are considered as treated.

This approach has three related merits. First, it is a natural way to analyze a policy which may be characterized by non-random compliance at the school or village level. Second, it is useful from a policy perspective since state governments' budgetary allocations to midday meals are typically associated with their decision to introduce the policy even if these allotments are not spent at the local level by non-compliers. Finally, since DISE does not include information on midday meal implementation at the school level, we are unable to verify compliance. (In Section 6, we exploit household survey data containing information on schools' midday meal compliance.)

Our aim is to identify the effect of midday meals instituted in public schools (treatment group) by certain states (experimental states). In order to accomplish this, we need to control for systematic shocks in enrollment outcomes of the treatment group in experimental states that are correlated with, but not due to, the institution of midday meals. We accomplish this by estimating the following triple difference equation, which uses private schools as an additional control group:<sup>21</sup>

$$(4.1) \quad Y_{ist} = \beta MDM_{ist} + \gamma_t + \lambda_s + \alpha Pub_i + \delta_{1s}(Pub_i \cdot \lambda_s) + \delta_{2t}(Pub_i \cdot \gamma_t) + \delta_{3st}(\lambda_s \cdot \gamma_t) + \epsilon_i$$

<sup>20</sup>Broadly speaking, our use of staggered implementation as an identification strategy follows Gruber and Hungerman (2008), who assess the impact on religious participation of the repeal of "Blue Laws" in U.S. states, and Field (2007) who studies a nation-wide titling program in Peru.

<sup>21</sup>Note that the approach used here is in fact an extension of the triple difference method, in that there are more than just 3 treatment and control groups (public schools from 15 states and the respective groups of private schools) and more than just 2 time periods. When extending the triple difference to the case of multiple groups and time periods, the policy variable is no longer a triple interaction term, but a policy dummy set to unity for groups and time periods when the policy was in place (see Imbens & Wooldridge, Lecture Notes 10, NBER, 2007). Therefore, the result of the following estimation is not equivalent to the difference in estimates from two separate double differences, as would be the case for the standard triple difference. For simplicity, we will refer to our estimates as being triple difference estimates.

where  $Y_{ist}$  is the log of enrollment, for school  $i$ , in state  $s$ , at time  $t = 2002, 2003, 2004$ . In various specifications it pertains to enrollment in grades 1-5 separately, as well as to total primary school enrollment.

The policy variable  $MDM_{ist}$  is equal to 1 if the midday meal program was in place in public school  $i$  from state  $s$  prior to the September 30th enrollment deadline in year  $t$ , as described in Table 1. The coefficient  $\beta$  is the triple difference estimate. It captures changes in enrollment in public schools following the institution of a midday meal program.

National trends in enrollment are captured through year fixed effects,  $\gamma_t$ . State fixed effects,  $\lambda_s$ , account for enrollment differences across states. The dummy variable  $Pub_i$ , which is equal to 1 if school  $i$  is a public school and 0 if it is a private school, allows for different average enrollments in public relative to private schools. The interaction term  $Pub_i \cdot \lambda_s$  permits this average to vary by state, and  $Pub_i \cdot \gamma_t$  captures a national trend in public school enrollment.

The key advantage of this approach is that it allows us to account for state specific shocks over the observation period through state-by-year effects,  $\lambda_s \cdot \gamma_t$ . This is important in a federal country such as India, where schooling policy is largely governed by states which have not only different levels, but also different trends in economic and demographic development.<sup>22</sup>

There has been much discussion in the literature about the calculation of standard errors for differences-in-differences estimates, so this is worth commenting on upfront. Following Bertrand et al. (2004), we cluster standard errors at the state level. However, as Cameron et al. (2008) point out, this may not resolve the problem of serial correlation if the number of clusters is not large, as in our case with 15 states. To account for this we follow the recommendation of Cameron et al. (2008) and wild cluster bootstrap the standard errors with 1000 replications (a cluster generalization of the wild bootstrap for heteroscedastic models with equal weights and probability.) The results are qualitatively identical. For simplicity, we therefore use the cluster-robust standard errors in all estimations.

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<sup>22</sup>A double difference strategy would not allow us to distinguish state-by-year effects from the midday meal effect. Given state-time heterogeneity in India, where time-varying state level variables are likely to vary between states pre and post treatment, this is likely to result in biased treatment effect estimates. Double difference estimates in these data (not reported) are never statistically significant. Inconsistent with extant statistical as well as anecdotal evidence, this is likely to be a reflection of confounding state-by-year effects. Moreover, the triple difference approach is a way of dealing with worries of potential endogeneity of treatment, by including an additional control group that is also affected by the same time-varying state level variables.



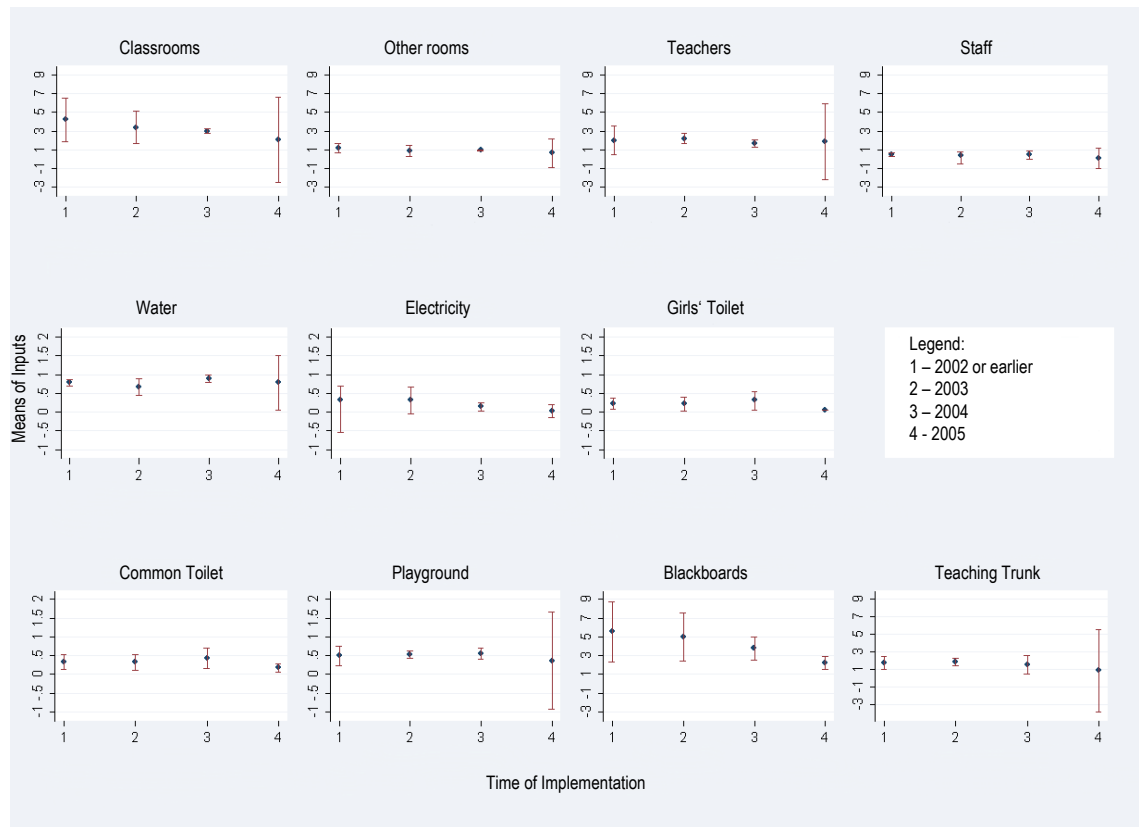
**4.2. Identification.** The main identifying assumption in this triple difference specification is that there were no contemporaneous shocks in states at the time of midday meal introduction, which impacted relative outcomes of the treatment group. At the state level, such a change may occur in public schools if there is a contemporaneous change in state school policy, and in Section 5.2.2 we provide a detailed discussion of possible candidates. Additionally, private schools may have responded to the introduction of a midday meal in public schools by strategically improving school quality in the hope of attracting or retaining students. Such confounding changes are likely to be reflected in relative changes in schooling inputs (including teachers, teaching aids and physical infrastructure). We test this by putting these variables on the left hand side of our triple difference equation (4.1). Our results indicate that there were no contemporaneous changes in the relative inputs between treatment and control groups at the time of midday meal introduction.

There are two pre-conditions for the validity of our quasi-experimental approach. The first is that control group outcomes are unaffected by treatment. In our specification tests, we try to verify this by showing that private school enrollment did not change in response to the introduction of midday meals. The second pre-condition is that there was no purposive placement of the midday meal policy.

As discussed in section 2, the timing of midday meal introduction was idiosyncratic. This is supported by Figure 2, which depicts mean inputs (and their 95% confidence intervals) for schools, grouped by the year in which the midday meal was implemented. (So, for example, the top left-hand graph indicates that schools located in states which implemented midday meals in 2002 or earlier on average had about 4 classrooms.) The fact that these confidence intervals overlap indicates that differences in means, by timing of implementation, are not statistically significant. At the same time, 2005 implementers, Bihar and Assam, do seem to have consistently worse schooling quality. We account for this in our specification tests by showing that our results are robust to the exclusion of late (as well as early) implementers.

There may also be lingering concern that the timing of midday meal adoption is related to state policies or preferences which are correlated with state-level trends in educational outcomes. Figure 3, which presents literacy data from India's decennial censuses, suggests that this is not the case. It shows that literacy rates, in states from the sample grouped by timing of implementation over our period of observation, have developed in a largely parallel fashion over the last twenty years.

Additionally, enrollment in public and private schools also developed in a parallel manner two years before program implementation, for the states for which there is

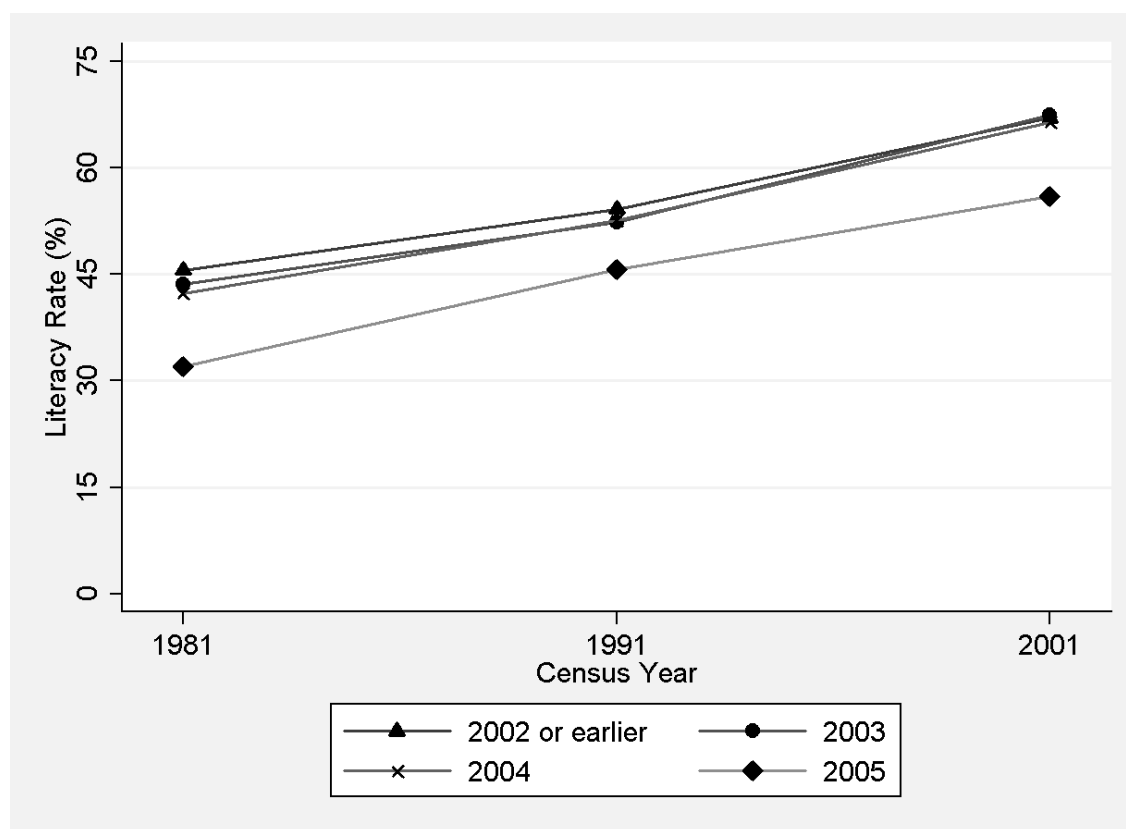


*Note:* This figure depicts 2002 schooling inputs from DISE, grouped by timing of midday meal implementation. The groups pertain to Chhattisgarh, Gujarat, Tamil Nadu and Rajasthan in 2002 or earlier (group 1); Andhra Pradesh, Karnataka, Maharashtra and Uttaranchal in 2003 (group 2); Haryana, Himachal Pradesh, Madhya Pradesh, Orissa and Uttar Pradesh in 2004 (group 3); and Assam and Bihar in 2005 (group 4). The data points represent group means and the bars represent the 95% confidence intervals

FIGURE 2. 2002 School Inputs by Timing of Midday Meal Implementation

sufficient pre-treatment data. Table 4 shows that for 2004 implementers there is no statistically significant difference in the enrollment trend between 2002 -2003 for public and private schools.<sup>23</sup> However, there do exist observable differences in schooling inputs between public and private schools, as documented recently in Muralidharan and

<sup>23</sup>The results hold as well for the 2005 implementers (table not reported).



*Note:* This figure depicts trends in literacy rates in states, which are grouped according to the timing of midday meal implementation. The groups pertain to: Gujarat, Tamil Nadu and Rajasthan in 2002 or earlier; Andhra Pradesh, Karnataka, Madhya Pradesh and Maharashtra in 2003; and Assam and Bihar in 2005. Chhattisgarh and Uttaranchal are not separately included since they became states only in 2000. Source: Census of India.

FIGURE 3. Literacy Rates by Timing of Midday Meal Implementation

Kremer (2006) and Kingdon (2007).<sup>24</sup> As the first two columns of Table 5 indicate, private schools have larger student bodies; have more rooms, staff and equipment; better

<sup>24</sup>Muralidharan and Kremer (2006) and Kingdon (2007) have also noted a growth in private school enrollment, driven primarily by the entry of private unrecognized schools. Since DISE only surveys recognized schools and our sample constitutes a balanced panel, our results are not directly driven by births in the sample. There may, however, be an indirect effect if new entrants draw enrollment away from extant public or private schools. To the extent that new private entrants (whether recognized or unrecognized) draw proportionately from enrollment in extant public and private schools at the state level over the period of observation, this should not compromise the identification strategy in our balanced panel. If, on the other hand, private unrecognized schools enter strategically where there has been a failure in public schools, then our treatment effect estimates may be biased downward. This seems unlikely for two reasons. First, there is no reason to believe that private entry is correlated with idiosyncratic midday meal introduction. Second, in a narrow, high-frequency window of observation, parallel trends between private and public school enrollment within a state seems like a reasonable assumption even with entry. Table 4 provides corroborative evidence in this regard.

schooling infrastructure; and are less likely to teach in the vernacular (likely, reflecting more English language instruction).

The main concern arising from these observed differences is that characteristics which differentiate private and public schools may be associated with different trends in enrollment between the two groups within a given state. We account for these concerns by applying our triple difference estimation described in Equation (4.1) to a matched sample of public and private schools. Remaining concerns pertaining to standard omitted variable bias are accounted for by extending the empirical model to include a vector of potentially time-varying school-level inputs  $\mathbf{X}_{it}$ .

The goal of the matching exercise is to find a group of private schools that is as similar as possible to the public schools in our sample.<sup>25</sup> To achieve this, we first estimate for each school the propensity score with a standard probit regression model in which the independent variables are from the base year 2002. We match on basic infrastructure (classrooms, other rooms, toilets, water, electricity, playgrounds), staff (teachers and other staff), teaching learning materials (blackboards and trunks that contain learning materials), language of instruction (vernacular) and on primary school size. In the common support region, for each public school we find a comparable private school located in the same state with the closest propensity score. The propensity score matching is done to the first nearest neighbor without replacement so as to obtain a sample of public schools as similar as possible to that of private schools. Unmatched schools are discarded and not used in estimating the treatment impact.<sup>26</sup>

As the last two columns of Table 5 indicate, the matched sample of public and private schools are indistinguishable in terms of observable characteristics. The residual differences in average school characteristics after matching are close to zero and therefore economically trivial.

## 5. RESULTS

**5.1. Main Results.** We begin by estimating Equation (4.1) using pooled OLS. Table 6 presents our main result: the triple difference estimate  $\beta$ , which captures the effect of midday meals ( $MDM_{ist}$ ) on school enrollment. Each column represents a different

<sup>25</sup>A group of public and private schools that is similar on observable school characteristics will also be more likely similar on unobservable characteristics such as the quality of schooling. Suggestive evidence from the IHDS 2005 data set shows that, only once observable schooling characteristics are accounted for, there is no statistical significant difference in learning results between public and private schools in areas where the midday meal program was not yet implemented.

<sup>26</sup>Matching with replacement does not eliminate the differences in observable average characteristics between private and public schools. Our analysis was performed using the user-written Stata program ‘psmatch2’ (described in Leuven and Sianesi <http://ideas.repec.org/c/boc/bocode/s432001.html>).

regression. In this and all other triple difference estimations, we control for state, time, and public school dummies as well as their pair-wise interactions as presented in Equation 4.1 (although, in the interest of space, coefficient estimates are not reported). In columns 1-5, the dependent variable is log of enrollment in grades 1-5, respectively, and in column 6 the dependent variable is the log of total primary school enrollment. Following Bertrand et al. (2004), in this and all subsequent tables, standard errors clustered at the state level are presented in parentheses.

The positive coefficients for  $\beta$  in row 1 indicate that midday meals increase primary school enrollment. The response is largest in grade 1 (column 1), where enrollment increases by a large and statistically significant 20.8%.<sup>27</sup> The magnitude of this point estimate reflects the fact that all new enrollments – 6-year-olds, older children, as well as under-aged children – are mainstreamed in first grade.

In grades 2, 3, 4 and 5 the point estimate for  $\beta$  falls and is statistically insignificant. As mentioned earlier, this is likely to reflect two things. First, enrollment in grades 2-5 can only be increased by reducing dropouts and baseline dropout rates in grades 2-5 are low to begin with. Second, the relative value of the implicit midday subsidy decreases with grades. Hence, midday meals are likely to be less effective at spurring (re)enrollment in upper grades.

Overall, midday meals engender a statistically significant 13.3% increase in primary school enrollment (column 6). The level results (not reported) underscore the economic significance of this percentage increase: it corresponds to around 14 additional students per primary school, 6 of whom enter grade 1. (The fact that the level results closely resemble the log results in table 6 suggests, moreover, that this main result is not sensitive to functional form.)

This translates into almost 6.3 million ( $\approx 450,000$  public schools  $\times$  14 additional students) children entering school on account of midday meal introduction in our sample states. If 27 million primary school-aged children in the country were out of school in 2002 (UNESCO 2006), and 20 million ( $\approx 80\%$ ) of these resided in the states we study, this would mean that midday meals are responsible for absorbing a striking 30% ( $\approx 6.3/20$ ) of out-of-school children. Even if half of the 6 additional children entering grade 1 were below 6 years of age, our estimates still suggest that midday meals would still account for a 25% reduction in out of school 6 to 10 year-olds.

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<sup>27</sup>This and other percentage increases in enrollment following from the binary explanatory variable, MDM, are calculated in the following manner:  $0.208 = \exp(0.189) - 1$ .

**5.2. Specification Tests & Extensions.** In this section we run a number of specification checks to ascertain the robustness of our main results and validity of our empirical strategy.

*5.2.1. School-level Heterogeneity.* Our research design allows for different average enrollments at the state level between public and private schools. However, we may still be concerned that secular differences in school characteristics are correlated with different trends in enrollment between public and private schools at the state level. We account for this possibility in Table 7, which presents triple difference estimates analogous to those in Table 6 using the matched sample of public and private schools described earlier.

The results described in the top half of Table 7 closely resemble our main results. The 13.1% increase in primary school enrollment presented in column 6 is strikingly similar to the 13.3% increase estimated for the full sample. Also the point estimates at the individual grade level (columns 1-5) are not statistically significant different from the estimates on the main sample. The magnitudes as well as the pattern of the point estimates are qualitatively identical to those presented in Table 6. In particular, the overall increase in primary school is driven by statistically significant increases in grade 1 enrollment, and enrollment responses are positive throughout.

The bottom half of Table 7 extends this exercise to account for omitted variable bias by including a vector of potentially time-varying schooling inputs  $\mathbf{X}_{it}$ , summarized in Table 3. The coefficient estimates on the schooling inputs (not reported) are consistent with our priors: more classrooms, teachers, other staff, blackboards, and physical infrastructure are associated with higher enrollment. The triple difference point estimates in this specification are very similar to those in the top half of the table, suggesting the our simple triple difference estimates does not suffer from significant omitted variable bias. However this interpretation needs to be treated with caution since, to the extent that schooling inputs are endogenous, all the coefficients in this table will be biased. In general, however, the magnitude of the point estimates are not significantly different and the overall pattern of the estimates is qualitatively identical to the triple difference estimates in both the full and the matched sample.

Together these robustness checks alleviate concerns that heterogeneity across (private and public) schools is driving our main results. Given the loss in sample size entailed in this matching exercise, we conduct further specification tests on the full sample, although the results are qualitatively similar when using the matched sample.

5.2.2. *Confounding Changes.* State governments have discretion over the implementation of school policies. This could be problematic for our triple difference model if there were confounding policy changes at the state level contemporaneous to the institution of midday meals, which affected treatment and control groups differentially. In this respect, the main public policy contender is the Sarva Shiksha Abhiyan (SSA).

Targeted at the 6-14 age group, the SSA's stated aims were to achieve universal enrollment and retention, bridge gender and caste gaps, and improve education quality. It was launched by the Government of India in 2001-02, before our observation period. To this extent, the observed effect of the introduction of school lunches cannot be confounded with any effect associated with cross state-time differences in the introduction (or withdrawal) of the SSA per se. The SSA merged all previous investments in elementary education, including the District Primary Education Programme (DPEP), from the state or from the central government (SSA 2008).<sup>28</sup>

Under the SSA, new schools were opened in habitations with no schooling facilities and the basic infrastructure of existing schools was strengthened. New teachers were hired and grants were given for the development of teaching learning materials. The interventions for out of school children focused mainly on alternative schooling models (Alternative and Innovative Education (AIE) schools, residential bridge courses, tent schools, mobile schooling or home based education) and on the building of Education Guarantee Scheme (EGS) schools. These types of schools are not included in our panel of schools. Therefore, as long as there is no differential impact of these interventions on our treatment and control groups, they should not affect our estimates.

Still, the concern remains that changes in schooling inputs introduced under the auspices of the SSA may have coincided with midday meal implementation. We examine this possibility by estimating a triple difference with different schooling inputs (instead of enrollment) on the left hand side of Equation (4.1), focusing on the set of schooling inputs that could have been changed under the SSA: basic infrastructure of the schools (classrooms, other rooms, toilets, water, electricity, playgrounds), staff (teachers and other staff) and teaching materials (blackboards and trunks that contain learning materials).

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<sup>28</sup>The DPEP was conceptualized in the early 1990s in response to India's low literacy rates. Its stated aims were to provide primary school access for all children, reduce dropout rates, increase learning achievements, and reduce gender and caste gaps in educational attainment (DOE 1995). (See World Bank (2003) for a review of the evidence regarding the impact of this program.) External funding for the DPEP expired in 2001-02; only in Andhra Pradesh and West Bengal did the DPEP continue to be funded (in this case, by the UK Government) until 2003 (Krishna Kumar and Saxena 2001). In the case of West Bengal, this does not pose a threat to identification since West Bengal is not in our main sample, and dropping Andhra Pradesh from our sample does not change the results.

Table 8 furnishes the results of this exercise. Each column has, as a dependent variable, a different schooling input on the left hand side. With only one exception (a common toilet, which is significant only at the 10% level, and of the “wrong” sign), the triple difference estimates for these inputs are statistically insignificant, indicating that schooling inputs in public versus private schools within each state did not change differentially at the same time of midday meal introduction. This is likely to be a reflection of the fact that there was little change in public or private school inputs over time during our three-year observation period, whether contemporaneous to midday meal introduction or otherwise; this is immediately evident from a cursory glance at descriptive statistics of schooling inputs by academic year (not shown). This feature further alleviates worries regarding potentially confounding changes.

5.2.3. *Contamination.* In principal the increased enrollment in public schools can come from two potential sources: children who would not have otherwise been in school (new enrollments), or children who would otherwise be enrolled in private schools and may be switching from private to public schools. In the latter case, our control group would be contaminated and the triple difference estimates presented in Table 6 would be upward bias estimates of the general equilibrium enrollment effects of midday meals.

We explore this possibility by estimating the following double difference (DD) model for our sample of private schools:

$$(5.1) \quad Y_{ist} = \lambda_s + \gamma_t + \phi m_{st} + \epsilon_i,$$

where  $Y_{ist}$ ,  $\lambda_s$  and  $\gamma_t$  are defined as in Equation (4.1). The policy variable  $m_{st}$  is equal to 1 for all schools if the midday meal program was in place in public schools in state  $s$  at time  $t$ .

The DD coefficient,  $\phi$ , is only suggestive of potential contamination, since we lack a control group for private schools (i.e. this is a double- and not a triple-difference.) Nevertheless, if increased public school enrollment in grade 1 and primary school as a whole reflected transfers, then we should expect to see a statistically significant negative coefficient for our estimate of  $\phi$  at these levels. Table 9 suggests that this is not the case: coefficients for grades and primary school as a whole are statistically insignificant. This allays fears of contamination and provides some validation for the use of private schools as a control group in the triple difference model.

5.2.4. *Timing of Implementation.* Our empirical strategy relies on the staggered timing of implementation of the midday meal scheme. We argued earlier that the timing of



implementation during our observation period is idiosyncratic. But there may still be concern that early or late implementers have policies and preferences which are correlated with trends in enrollment that are different from others in our sample. One way of addressing this concern is to examine whether our results are being driven by these states.

In Table 10 we estimate the triple difference model in Equation (4.1) on four different samples of public and private schools, depending upon early or late implementation. The point estimates are virtually identical when we drop laggards Assam and Bihar (first quarter of Table 10), pioneers Tamil Nadu and Gujarat (second quarter), or both laggards and pioneers (third quarter). In addition, when we exclude one state at a time from the sample our results are also unchanged (not reported), indicating that no single state is driving our results.

Finally, as related in Section 2, we did not include pilot regions, Kerala, Jharkhand or West Bengal in our sample, because of both poor documentation regarding implementation and worries of bias introduced by purposive placement. In the bottom quarter of Table 10, we include schools in Kerala, Jharkhand, West Bengal as well as schools covered in these pilot regions, treating each pilot region in a given state as a “new” state, with the  $MDM_{ist}$  variable defined accordingly. The bottom quarter of Table 10 reports our triple difference estimates for this extended sample. The picture remains the same (the p-value for the primary school coefficient estimate is 0.104).

Together, these robustness checks indicate that our results are not driven by potentially non-random timing of implementation.

## 6. HETEROGENEOUS RESPONSES, ATTENDANCE AND LEARNING

In this section, we use a recent household and school-level survey from the Indian Human Development Survey (IHDS) 2005 in order to extend our main results in three ways. First, one would expect that children from relatively disadvantaged backgrounds comprise the bulk of the observed enrollment response, both because they are the most likely to be out of school in the first place, and because they are likely to be most responsive to a food subsidy. We explore this by allowing for heterogeneous “responses” to midday meal provision by caste, income and gender.

Second, proponents claim that on account of its on-site consumption after morning lessons, one of the chief merits of midday meals is that it boosts school *attendance*, which can be quite different from enrollment, particularly in the Indian context. We therefore explore whether midday meal provision is associated with higher attendance.

Third, the positive enrollment response to midday meals documented in Section 5 reflects the sum of two effects, alluded to in the introduction. The first is the implicit subsidy effect, which is thought to be positive as school lunches lower the cost of schooling. The second is the learning effect whose sign is, in general, ambiguous see (Kremer and Vermeersch (2004) and Kazianga et al. (2009) for detailed discussions.) On the one hand, there is a positive direct effect, as improved nutrition from midday meal consumption leads to more learning, and commensurately higher returns to education, and thereby higher enrollment. But there is also a negative indirect learning effect. This arises from the possibility that limited resources in terms of personnel, teaching tools, and infrastructure may have to be stretched over a larger number of enrolled children; or from the prospect of teachers being distracted from teaching due to meal-related administration. As a final extension, therefore, we explore whether there is any net learning effect associated with midday meal provision.

Each of these outcomes are important policy issues in their own right, and therefore worthy of investigation. It is worth emphasizing up front, however, that due to the cross-sectional nature of the data as well as to the timing of the survey, we cannot rule out endogeneity concerns, so the results presented here are only suggestive.

**6.1. Data.** IHDS 2005 is a nationally representative survey conducted in 41,554 households during 2004-2005 across all states and union territories of India with the exception of the Andaman & Nicobar and Lakshadweep islands (see IHDS (2008) for complete documentation). The survey covers 1,504 villages and 970 urban neighborhoods. In addition to careful data collection and quality control (Desai et al. 2008), this survey has 3 features which are useful for our purposes. First, income and demographic data from the household survey allow us to examine heterogeneous programme responses. The second unique feature of the household survey is that it includes not only standard enrollment data, but also information regarding each child's school attendance, as well as assessments of reading, writing and arithmetic skills for children aged 8-11 (developed in conjunction with Pratham, an NGO with extensive knowledge in this area.)<sup>29</sup>

Third, in addition to the household survey, IHDS includes a primary school survey which covered at least one public and (where present) one private school in each village

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<sup>29</sup>The income and demographic questions are answered by the head of the household. Questions pertaining to children in the household are answered typically by the mother. Tests were administered in the household. Although all 8-11 year-olds in the sample households were supposed to take the test, only about 72% of them actually did so, and we cannot rule out the possibility that missing scores are non-random. Non-response is much higher for non-enrolled children, than for enrolled children. However, non-response is not correlated with the degree of midday meal implementation.

or urban block, the primary sampling units (PSU). Where there was no school facility within the selected village, the nearest school was surveyed. Importantly, this school survey included a question regarding whether a midday meal was offered in the school. We use this response to construct a dummy variable equal to one if at least one public school in the PSU provided midday meals.<sup>30</sup>

Since tests were only administered to 8-11 year-olds, our core sample comprises children in this age group who are either out of school or are currently enrolled in a public primary school. Table 11 presents summary statistics for the 9,224 observations in our sample. It indicates that 77% of children in this age group have access to a midday meal offered at a local public school. On average, 88% are currently enrolled and, in the past week attended school for 30.9 hours. 34% belong to Other Backward Castes (OBC), 36% are either Scheduled Castes or Scheduled Tribes (SC\ST); 15% belong to an upper caste; and the remainder (Other) belong to minority religions (86% of this category are Muslim). The vast majority of children come from households where parents have completed only 5 years of schooling or fewer.

Three dummy variables, *Reading*, *Math* and *Writing*, are constructed to capture learning. Of the children who were administered learning tests, 72% can read at least words; 40% of the children that took the math test can solve at least a simple addition problem; and 61% can write a simple sentence with at most one mistake.

**6.2. Empirical Model & Results.** In contrast to our empirical strategy using DISE’s panel data structure, we cannot use an ITT strategy exploiting staggered implementation of the policy. The simple reason for this is that by 2005 when the IHDS was conducted, the vast majority of the Indian states had introduced the midday meal scheme. Furthermore, because IHDS is a cross-section, and midday meals are only offered in public schools, we cannot use private schools as a control group since this would not permit us to distinguish the midday meal effect from secular differences in enrollment between private and public schools.

We estimate the following baseline model:

$$(6.1) \quad R_{ihj} = \lambda MDM_j + \nu Z_{ih} + \epsilon,$$

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<sup>30</sup>The choice of school was non-random – where more than one of either facility was present, interviewers were asked to select the facility which was predominantly used by residents. However, since there is no variation in midday meal implementation across public schools *within* a given village, we do not believe this introduces any bias in our estimation.

where our unit of observation is child  $i$ , living in household  $h$  and PSU  $j$ . The left hand side variable  $R_{ihj}$  pertains, in various specifications to, (i) a dummy variable equal to 1 if child  $i$  is enrolled in school (enrollment); (ii) the number of hours spent attending school in the previous week (attendance); and (in three separate specifications) whether (=1) or not (=0) the child can read, write or do math.

The dummy variable  $MDM_j$  indicates whether, in PSU  $j$  where child  $i$  resides, mid-day meals are served in public schools ( $MDM_j = 1$ ) or not ( $MDM_j = 0$ ). The vector  $Z_{ih}$  contains individual characteristics such as gender and age, and household characteristics including caste and parents' education. In order to capture heterogeneous treatment effect, we interact  $MDM_j$  in three separate specifications with dummies for caste/religious group, income quartile and gender. Effectively, this means replacing  $MDM_j$  with the corresponding interaction terms.

Table 12 presents OLS estimates for equation 6.1. (Probit estimations produce qualitatively identical results.) The sample in column 1 pertains to all children between the ages of 8 and 11 who are either non-enrolled or currently enrolled in public primary school. The point estimate in row 1 indicates that midday meals are associated with 10.8% higher enrollment in this age group. This estimate is similar to our DISE estimates for primary school, but much larger relative to the responses in grades 3-5 (where 8-11 year-olds are typically enrolled). While we cannot rule out bias, this would be consistent with student retention in upper grades after 3-5 years of program exposure (in 2005) following a large grade 1 response in the initial years of exposure.

The next three columns permit this average enrollment response to vary by caste and religion (column 2), income quartile (column 3) and gender (column 4). Enrollment increases across the board, but is largest for relatively disadvantaged children. With respect to social group, column 2 indicates the response is highest for SC\STs and the Other (predominantly Muslim) category; column 3 indicates that it is largest for the bottom three-quarters of the income distribution; and column 4 indicates that it is larger, although not significantly so, for girls than for boys. Although this may be indicative of purposive placement, it is nevertheless consistent with our priors that disadvantaged children are likely to be most responsive to this food subsidy.

In column 5, the dependent variable is attendance and our sample is restricted accordingly to children who are actually enrolled in school. The result suggests that midday meal provision is associated with 2.6 additional hours of school attendance a week, which corresponds to a one-third of a standard deviation increase. As with enrollment, this may reflect purposive placement. However, it is consistent with the fact that children have to attend at least morning classes to get lunch at noon. It

is also supported by anecdotal evidence (PROBE 1999) that shows that with midday meals in place children themselves like to come to school.

The dependent variables in Columns 6, 7 and 8 are dummies indicating a child's ability to read, solve math problems, and write, respectively. The coefficients in row 1 indicate that midday meals are not associated with *any* learning effect: the estimates are statistically insignificant and close to zero in each of the three categories. This weak correlation may reflect purposive placement if implementation occurs in more disadvantaged regions. It is also likely to reflect a selection effect, since the estimate captures an average effect of students from (as columns 2-4 seem to suggest) weaker socio-economic backgrounds who are responding to the programme and stronger students who are already enrolled.

Nevertheless, it is consistent with evidence from studies in other geographies, reviewed in Kazianga et al. (2009), that school feeding programs are often ineffectual at raising academic achievement. It is also consistent with lower average schooling inputs, resulting from a large enrollment response and an absence of any concomitant increase in staff or infrastructure. If midday meals are associated with higher enrollment but, as these results suggest, no increase in learning, these data seem to suggest that the implicit subsidy channel is driving the positive enrollment response to midday meals.

## 7. CONCLUSION

This paper provides evidence that India's midday meal scheme has led to large increases in primary school enrollment. Our main triple difference estimates indicate that primary school enrollment increased by 13%. Back-of-the envelope calculations (described in section 5.1) suggest that this corresponds to about 6.3 million additional children in school, which is likely to amount to a substantial reduction in the estimated 20 million 6-10 year-olds who were out of school in the states we study in 2002. Household survey data also indicate that many of new enrollments may be children from disadvantaged socio-economic backgrounds, suggesting that the policy may be successful in reaching segments of the population which have otherwise proved difficult to enroll.

The largest and most robust overall increases are in grade 1, where enrollment rose by 21%. Enrollment responses in grades 2, 3, 4 and 5 are, by contrast, more muted. The magnitude of the grade 1 effect is consistent with the fact that never-enrolled children are mainstreamed in grade 1, regardless of age. By contrast, enrollment in later grades can only be boosted by lowering dropouts from the previous year, and the scope for this is limited given the low dropout rate in higher grades. Effectively,

therefore, in order to boost enrollment in (for example) grade 5, a state would need to have had midday meals in place for 5 years *and* retained the large grade 1 intake up into grade 5.

The fact that we don't observe this is partly a reflection of the fact that most states in our sample were exposed to the programme for 1 to 2 years: hence, the response in grade 1 and not thereafter. Even in the long-run, however, midday meals are likely to be more effective at encouraging school participation among children in the lowest grades than the highest grades in primary school. This is because the cash value of the meal is constant while costs of schooling increase with grade, due to the higher direct costs associated with school materials (uniforms, books, etc.) and opportunity costs (value of home and labor market production). This means that, in relative terms, the implicit meal subsidy is higher in lower grades.

The main advantages of the data we exploit in our main analysis are its wide coverage, timing, and panel data structure, which allow for a large-scale impact assessment of this important school lunch policy. The disadvantage of the data is that it only has reliable data on enrollment. Although this is an important and commonly utilized metric for school attainment, it is arguably not as important as attendance or learning. Starting in 2005 and continuing annually since then, ASER has initiated a rich large-scale household and school survey. An interesting avenue of future research will be to exploit exogenous variation in exposure to the midday meal program to identify its effects on attendance and learning.

Results from the household cross-section we exploit in this paper are only suggestive of there being increased attendance but no significant learning effects associated with the program. However, the former finding is intuitive given the administration of lunches on site at midday. The latter finding does seem to be substantiated by anecdotal evidence that the administration of midday meals distracts from teaching, and that the enrollment response to the program has stretched limited resources, both of which compromise learning. This is further corroborated by the fact that our DISE data indicate little change in complementary staff, materials and infrastructure. Given the magnitude of the enrollment response engendered by midday meals, such investments seem necessary prerequisite if learning is to be promoted. Still, the absence of any evidence of increasing learning coupled with large enrollment effects suggests that the implicit subsidy channel is responsible for the latter effect.

Given the wide coverage of the data we exploit, we believe our main DISE enrollment results to be representative for India. This is policy relevant given both the scale of the midday meal program, and the fact that India houses the largest number of

out-of-school children in the world (UNICEF 2008). It seems fair to speculate that the magnitude of the response that we document here is larger than it would be, were a similar school feeding program to be instituted in Latin America or East Asia, where primary school enrollment is already considerably advanced. Quite apart from enrollment effects, however, there may be important nutritional or school attendance benefits which may still speak for the introduction of similar school feeding programs in these regions. At the same time the enrollment effects we document in this paper may be generalizable to parts of Sub-Saharan Africa, where primary school enrollment rates are comparable to those of India, and decentralized government institutions have the capacity to implement this logistically demanding policy.

TABLE 1. Sample of states and time of implementation

| State Name       | Implementation | Treatment Year |
|------------------|----------------|----------------|
| Andhra Pradesh   | January 2003   | 2003           |
| Assam*           | January 2005   | 2005           |
| Bihar*           | January 2005   | 2005           |
| Chhattisgarh     | April 2002     | 2002           |
| Gujarat          | November 1984  | 1986           |
| Haryana          | August 2004    | 2004           |
| Himachal Pradesh | September 2004 | 2004           |
| Karnataka*       | July 2003      | 2003           |
| Madhya Pradesh*  | July 2003      | 2003           |
| Maharashtra      | January 2003   | 2003           |
| Orissa*          | September 2004 | 2004           |
| Rajasthan        | July 2002      | 2002           |
| Tamil Nadu       | July 1982      | 1982           |
| Uttar Pradesh    | September 2004 | 2004           |
| Uttaranchal      | July 2003      | 2003           |

*Note.* a. The second column contains the month and year when the midday meal scheme was implemented with full coverage throughout the state; these dates were collected from state midday meal scheme audit and budget reports. The third column contains the academic year starting from which a state is considered to have implemented the midday meal scheme; an academic year is considered to start on the 30th of September. States marked with \* implemented the midday meal scheme in pilot districts as follows: Assam Pilot in December 2004 (treatment year 2005), Bihar Pilot in September 2004 (treatment year 2004), Karnataka Pilot in June 2002 (treatment year 2002), Madhya Pradesh Pilot in October 1995 (treatment year 1996) and Orissa Pilot in June 2001 (treatment year 2001).

b. States or districts excluded from the main DISE sample due to partial implementation, lack of information regarding where the scheme was implemented or due to potential purposive placement: Jharkhand, Kerala, West Bengal, Assam Pilot, Bihar Pilot, Karnataka Pilot, Madhya Pradesh Pilot and Orissa Pilot. The main regressions in the paper are similar if these districts and blocks are included (see text). All other states are not covered by DISE.



TABLE 2. School Distribution among States in Sample

| State Name       | Population | Schools |         |
|------------------|------------|---------|---------|
|                  |            | Public  | Private |
| Andhra Pradesh   | 9.24       | 7.67    | 1.98    |
| Assam            | 3.23       | 5.55    | 0.09    |
| Bihar            | 10.06      | 8.16    | 0.08    |
| Chhattisgarh     | 2.53       | 5.07    | 3.07    |
| Gujarat          | 6.14       | 2.11    | 1.46    |
| Haryana          | 2.56       | 0.60    | 0.02    |
| Himachal Pradesh | 0.74       | 2.50    | 1.47    |
| Karnataka        | 6.41       | 6.58    | 7.46    |
| Madhya Pradesh   | 7.31       | 9.36    | 18.40   |
| Maharashtra      | 11.74      | 7.53    | 3.59    |
| Orissa           | 4.46       | 6.32    | 1.55    |
| Rajasthan        | 6.85       | 10.30   | 16.56   |
| Tamil Nadu       | 7.56       | 5.49    | 8.02    |
| Uttar Pradesh    | 20.14      | 20.45   | 33.45   |
| Uttaranchal      | 1.03       | 2.30    | 2.82    |
| Total            | 100.00     | 100.00  | 100.00  |

*Note.* In percentages. The second column figures are calculated from Census of India 2001 data. The figures in the third column are calculated from our main sample of public schools. The figures in the fourth column are calculated from our main sample of private schools.

TABLE 3. Means of 2002 variables

| <i>Enrollment<sup>a</sup></i>       |                    |
|-------------------------------------|--------------------|
| Grade 1                             | 35.02<br>(37.89)   |
| Grade 2                             | 26.20<br>(27.35)   |
| Grade 3                             | 23.38<br>(24.99)   |
| Grade 4                             | 20.40<br>(22.71)   |
| Grade 5                             | 17.66<br>(22.54)   |
| Primary school                      | 122.65<br>(118.61) |
| <i>Schooling Inputs<sup>b</sup></i> |                    |
| Number of classrooms                | 3.27<br>(2.89)     |
| Number of other rooms               | 0.96<br>(1.69)     |
| Number of teachers                  | 1.97<br>(1.93)     |
| Number of other staff               | 0.37<br>(1.06)     |
| Dummy for water                     | 0.80<br>(0.40)     |
| Dummy for electricity               | 0.20<br>(0.40)     |
| Dummy for girls' toilet             | 0.23<br>(0.42)     |
| Dummy for common toilet             | 0.35<br>(0.48)     |
| Dummy for playground                | 0.51<br>(0.50)     |
| Number of blackboards               | 4.41<br>(3.85)     |
| Number of teaching trunks           | 1.62<br>(2.52)     |
| Dummy for teaching in vernacular    | 0.97<br>(0.17)     |

*Note.* Standard deviation in parentheses. All regressions omit observations in 3 states and 29 pilot districts due to partial implementation, lack of information regarding where the scheme was implemented or due to potential purposive placement. Data are from DISE 2002. Observations: *a*: 489,125 *b*: 428,491.

TABLE 4. Double Difference: Parallel Trends between Public and Private Schools

|               | (1)               | (2)                 | (3)                 | (4)                 | (5)                 | (6)                 |
|---------------|-------------------|---------------------|---------------------|---------------------|---------------------|---------------------|
|               | Grade 1           | Grade 2             | Grade 3             | Grade 4             | Grade 5             | Primary             |
| time x public | -0.079<br>(0.049) | 0.026<br>(0.016)    | 0.006<br>(0.014)    | 0.001<br>(0.015)    | -0.000<br>(0.016)   | -0.025<br>(0.036)   |
| time          | 0.041*<br>(0.013) | 0.088***<br>(0.008) | 0.110***<br>(0.013) | 0.132***<br>(0.017) | 0.122***<br>(0.017) | 0.097***<br>(0.013) |
| public        | 0.056<br>(0.151)  | 0.055<br>(0.129)    | -0.010<br>(0.105)   | -0.118<br>(0.072)   | -0.264**<br>(0.058) | 0.083<br>(0.145)    |
| Obs.          | 297,635           | 297,635             | 297,635             | 297,635             | 297,635             | 297,635             |
| Adj. $R^2$    | 0.00              | 0.00                | 0.00                | 0.00                | 0.01                | 0.00                |

*Note.* Robust standard errors in parentheses clustered at the state level. The dependent variables are log of yearly primary school enrollment, total and disaggregated by grade. The time dummy is set to unity for the year 2003. The public dummy is set to unity for public schools. All regressions include only public and private primary schools from the two years prior to midday meal implementation in the group of states that implemented in 2004: Haryana, Himachal Pradesh, Orissa (excluding the pilot districts), Uttar Pradesh. Data are from DISE 2002-2003.

\*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.01$

TABLE 5. Means of 2002 Variables: Before and After Matching

|                                  | Before <sup>a</sup> |                    | After <sup>b</sup> |                    |
|----------------------------------|---------------------|--------------------|--------------------|--------------------|
|                                  | Public              | Private            | Public             | Private            |
| School size                      | 122.83<br>(112.47)  | 163.58<br>(188.12) | 151.77<br>(170.55) | 162.34<br>(183.06) |
| Number of classrooms             | 3.02<br>(2.40)      | 6.98<br>(5.69)     | 5.81<br>(4.43)     | 6.92<br>(5.45)     |
| Number of other rooms            | 0.89<br>(1.60)      | 1.87<br>(2.55)     | 1.64<br>(2.37)     | 1.86<br>(2.52)     |
| Number of teachers               | 1.90<br>(1.73)      | 2.95<br>(3.70)     | 2.54<br>(2.91)     | 2.90<br>(3.37)     |
| Number of other staff            | 0.34<br>(0.95)      | 0.75<br>(2.07)     | 0.54<br>(1.47)     | 0.74<br>(2.00)     |
| Dummy for water                  | 0.79<br>(0.41)      | 0.96<br>(0.19)     | 0.96<br>(0.19)     | 0.96<br>(0.19)     |
| Dummy for electricity            | 0.17<br>(0.37)      | 0.66<br>(0.47)     | 0.61<br>(0.49)     | 0.66<br>(0.47)     |
| Dummy for girls' toilet          | 0.20<br>(0.40)      | 0.67<br>(0.47)     | 0.64<br>(0.48)     | 0.67<br>(0.47)     |
| Dummy for common toilet          | 0.33<br>(0.47)      | 0.73<br>(0.44)     | 0.71<br>(0.45)     | 0.73<br>(0.44)     |
| Dummy for playground             | 0.49<br>(0.50)      | 0.81<br>(0.40)     | 0.82<br>(0.38)     | 0.81<br>(0.40)     |
| Number of blackboards            | 4.20<br>(3.49)      | 7.57<br>(6.64)     | 6.47<br>(5.56)     | 7.52<br>(6.47)     |
| Number of teaching trunks        | 1.64<br>(2.49)      | 1.28<br>(3.03)     | 1.51<br>(1.97)     | 1.28<br>(3.03)     |
| Dummy for teaching in vernacular | 0.98<br>(0.15)      | 0.83<br>(0.37)     | 0.94<br>(0.24)     | 0.84<br>(0.37)     |

*Note.* Standard deviation in parentheses. Means are calculated on the basis of 2002 values for *a.* full sample comprising 428,491 observations and *b* matched sample comprising 53,954 observations. Propensity score matching uses the nearest neighbor without replacement.

TABLE 6. Triple Difference: Primary School Enrollment

|                 | (1)                 | (2)              | (3)              | (4)              | (5)              | (6)                 |
|-----------------|---------------------|------------------|------------------|------------------|------------------|---------------------|
|                 | Grade 1             | Grade 2          | Grade 3          | Grade 4          | Grade 5          | Primary             |
| MDM ( $\beta$ ) | 0.189***<br>(0.054) | 0.052<br>(0.033) | 0.011<br>(0.071) | 0.009<br>(0.072) | 0.014<br>(0.067) | 0.125***<br>(0.031) |
| Obs.            | 1,473,759           | 1,473,759        | 1,473,759        | 1,473,759        | 1,473,759        | 1,473,759           |
| Adj. $R^2$      | 0.11                | 0.10             | 0.08             | 0.07             | 0.15             | 0.07                |

*Note.* Robust standard errors in parentheses clustered at the state level. All regressions include state dummies, year dummies, a public school dummy PUB, and state x time, state x PUB, time x PUB interaction terms. The dependent variables are log of yearly primary school enrollment, total and disaggregated by grade. The MDM dummy is set to unity for public schools once a state implements the midday meal scheme. Sample: All regressions include public primary schools and private primary schools. All regressions omit observations in 3 states and pilot regions from 5 states due to partial implementation, lack of information regarding where the scheme was implemented or due to potential purposive placement. Data are from DISE 2002 - 2004.

\*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.01$

TABLE 7. Primary School Enrollment on Matched Sample

|  | (1)                | (2)              | (3)              | (4)              | (5)              | (6)                |
|--|--------------------|------------------|------------------|------------------|------------------|--------------------|
|  | Grade 1            | Grade 2          | Grade 3          | Grade 4          | Grade 5          | Primary            |
| Triple Difference <sup>a</sup>                 |                    |                  |                  |                  |                  |                    |
| MDM ( $\beta$ )                                | 0.142**<br>(0.058) | 0.081<br>(0.050) | 0.059<br>(0.039) | 0.044<br>(0.044) | 0.057<br>(0.043) | 0.123**<br>(0.048) |
| Obs.   | 155,766            | 155,766          | 155,766          | 155,766          | 155,766          | 155,766            |
| Adj. $R^2$                                     | 0.05               | 0.06             | 0.06             | 0.06             | 0.06             | 0.06               |
| Triple Difference with Covariates <sup>b</sup> |                    |                  |                  |                  |                  |                    |
| MDM ( $\beta$ )                                | 0.143**<br>(0.051) | 0.078<br>(0.057) | 0.054<br>(0.062) | 0.036<br>(0.063) | 0.047<br>(0.068) | 0.125*<br>(0.060)  |
| Schooling Inputs                               | YES                | YES              | YES              | YES              | YES              | YES                |
| Obs.   | 150,241            | 150,241          | 150,241          | 150,241          | 150,241          | 150,241            |
| Adj. $R^2$                                     | 0.25               | 0.25             | 0.26             | 0.26             | 0.24             | 0.25               |

*Note.* Robust standard errors in parentheses clustered at the state level. All regressions include state dummies, year dummies, a public school dummy PUB, and state x time, state x PUB, time x PUB interaction terms. Regressions *b* include as covariates the schooling inputs listed in part *b* of Table 3. The dependent variables are log of yearly primary school enrollment, total and disaggregated by grade. The MDM dummy is set to unity for public schools once a state implements the midday meal scheme. From the sample in Table 6 a sub-sample was created through a propensity score first nearest neighbor match without replacement on the common support, based on the 2002 values of the schooling inputs described in Table 5, by state between public and private schools.

\*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.01$

TABLE 8. Triple Difference: Schooling Inputs

|                 | (1)               | (2)               | (3)               | (4)               | (5)               | (6)              | (7)               | (8)                | (9)               | (10)             | (11)             |
|-----------------|-------------------|-------------------|-------------------|-------------------|-------------------|------------------|-------------------|--------------------|-------------------|------------------|------------------|
|                 | Classrooms        | Otherrooms        | Teachers          | Staff             | Water             | Electricity      | Gtoilet           | Ctoilet            | Playground        | Blackboard       | Trunk            |
| MDM ( $\beta$ ) | -0.103<br>(0.378) | -0.056<br>(0.197) | -0.206<br>(0.209) | -0.046<br>(0.143) | -0.014<br>(0.012) | 0.001<br>(0.010) | -0.023<br>(0.017) | -0.039*<br>(0.019) | -0.015<br>(0.013) | 0.205<br>(0.379) | 0.037<br>(0.093) |
| Obs.            | 1,473,759         | 1,473,759         | 1,458,615         | 1,458,595         | 1,420,100         | 1,437,599        | 1,429,051         | 1,431,237          | 1,432,754         | 1,473,759        | 1,473,759        |
| Adj. $R^2$      | 0.19              | 0.05              | 0.10              | 0.10              | 0.09              | 0.29             | 0.17              | 0.15               | 0.08              | 0.17             | 0.03             |

*Note.* Robust standard errors in parentheses clustered at the state level. All regressions include state dummies, year dummies, a public school dummy PUB, state x time, state x PUB, time x PUB interaction terms. The dependent variables are various schooling inputs as noted in the column title. The MDM dummy is set to unity for public schools only once a state implements the midday meal scheme. Sample is as in Table 6.

\*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.01$

TABLE 9. Double Difference: Private School Enrollment

|                     | (1)               | (2)               | (3)               | (4)               | (5)                | (6)               |
|---------------------|-------------------|-------------------|-------------------|-------------------|--------------------|-------------------|
|                     | Grade 1           | Grade 2           | Grade 3           | Grade 4           | Grade 5            | Primary           |
| MDMstate ( $\phi$ ) | -0.053<br>(0.056) | -0.049<br>(0.051) | -0.034<br>(0.053) | -0.045<br>(0.051) | -0.068*<br>(0.034) | -0.076<br>(0.064) |
| Obs.                | 101,120           | 101,120           | 101,120           | 101,120           | 101,120            | 101,120           |
| Adj. $R^2$          | 0.06              | 0.06              | 0.06              | 0.06              | 0.08               | 0.06              |

*Note.* Robust standard errors in parentheses clustered at the state level. All regressions include state dummies and year dummies. The dependent variables are log of yearly primary school enrollment, total and disaggregated by grade. The MDMstate dummy is set to unity once a state implements the midday meal scheme in public schools. All regressions include recognized private unaided primary schools only. All regressions omit observations in 3 states and pilot regions from 5 states due to partial implementation, lack of information regarding where the scheme was implemented or due to potential purposive placement. Data are from DISE 2002-2004.

\*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.01$



TABLE 10. Triple Difference: Primary School Enrollment, Various Samples

|   | (1)<br>Grade 1      | (2)<br>Grade 2   | (3)<br>Grade 3    | (4)<br>Grade 4    | (5)<br>Grade 5    | (6)<br>Primary      |
|---|---------------------|------------------|-------------------|-------------------|-------------------|---------------------|
| Without Late Implementers <sup>a</sup>                      |                     |                  |                   |                   |                   |                     |
| MDM ( $\beta$ )   | 0.189***<br>(0.055) | 0.052<br>(0.033) | 0.011<br>(0.072)  | 0.009<br>(0.072)  | 0.014<br>(0.068)  | 0.125***<br>(0.032) |
| Obs.  | 1,312,917           | 1,312,917        | 1,312,917         | 1,312,917         | 1,312,917         | 1,312,917           |
| Adj. $R^2$  | 0.08                | 0.08             | 0.07              | 0.06              | 0.09              | 0.05                |
| Without Early Implementers <sup>b</sup>                     |                     |                  |                   |                   |                   |                     |
| MDM ( $\beta$ )   | 0.182***<br>(0.055) | 0.026<br>(0.029) | -0.030<br>(0.067) | -0.030<br>(0.067) | -0.013<br>(0.068) | 0.108***<br>(0.027) |
| Obs.  | 1,352,485           | 1,352,485        | 1,352,485         | 1,352,485         | 1,352,485         | 1,352,485           |
| Adj. $R^2$  | 0.12                | 0.10             | 0.09              | 0.07              | 0.14              | 0.07                |
| Without Early or Late Implementers <sup>c</sup>             |                     |                  |                   |                   |                   |                     |
| MDM ( $\beta$ )   | 0.182***<br>(0.057) | 0.025<br>(0.030) | -0.031<br>(0.067) | -0.030<br>(0.068) | -0.013<br>(0.069) | 0.108***<br>(0.027) |
| Obs.  | 1,191,643           | 1,191,643        | 1,191,643         | 1,191,643         | 1,191,643         | 1,191,643           |
| Adj. $R^2$  | 0.08                | 0.08             | 0.07              | 0.06              | 0.09              | 0.05                |
| With Pilots, Kerala, Jharkhand and West Bengal <sup>d</sup> |                     |                  |                   |                   |                   |                     |
| MDM ( $\beta$ )   | 0.149**<br>(0.064)  | 0.023<br>(0.043) | -0.004<br>(0.070) | -0.013<br>(0.070) | 0.001<br>(0.065)  | 0.083<br>(0.049)    |
| Obs.  | 1,751,224           | 1,751,224        | 1,751,224         | 1,751,224         | 1,751,224         | 1,751,224           |
| Adj. $R^2$  | 0.12                | 0.11             | 0.10              | 0.09              | 0.21              | 0.09                |

*Note.* Robust standard errors in parentheses clustered at the state level. All regressions include state dummies, year dummies, a public school dummy PUB, and state x time, state x PUB, time x PUB interaction terms. The dependent variables are log of yearly primary school enrollment, total and disaggregated by grade. The MDM dummy is set to unity once a state implements the midday meal scheme. All regressions include public primary schools and private unaided primary schools only. From the sample in Table 6 new samples are created in the following way: In regressions *a* Assam and Bihar are excluded; In regressions *b* Tamil Nadu and Gujarat are excluded; In regressions *c* Tamil Nadu, Gujarat, Assam and Bihar are excluded; In regressions *d* the pilot districts Assam Pilot, Bihar Pilot, Karnataka Pilot, Madhya Pradesh Pilot and Orissa Pilot are included as well as Kerala (with implementation year 1995), Jharkhand (with implementation year 2004) and West Bengal with (implementation year 2003).

\*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.01$

TABLE 11. IHDS: Means of Selected Variables

|   |                 |
|---|-----------------|
| MDM   | 0.77<br>(0.42)  |
| <i>Dependent Variables</i>                      |                 |
| Currently enrolled                              | 0.88<br>(0.33)  |
| Attendance                                      | 30.91<br>(8.47) |
| Reading   | 0.72<br>(0.45)  |
| Math  | 0.40<br>(0.49)  |
| Writing   | 0.61<br>(0.49)  |
| <i>Individual and Household Characteristics</i> |                 |
| Male  | 0.50<br>(0.50)  |
| Age   | 9.37<br>(1.04)  |
| Upper Castes                                    | 0.15<br>(0.35)  |
| OBC   | 0.34<br>(0.47)  |
| SC\ST   | 0.36<br>(0.48)  |
| Other   | 0.15<br>(0.36)  |
| Mother no education                             | 0.64<br>(0.48)  |
| Mother completed primary school                 | 0.19<br>(0.39)  |
| Mother completed more than 5 years of schooling | 0.05<br>(0.22)  |
| Father no education                             | 0.36<br>(0.48)  |
| Father completed primary school                 | 0.30<br>(0.46)  |
| Father completed more than 5 years of schooling | 0.14<br>(0.35)  |

*Note.* Standard deviations in parentheses. Sample: children between 8 and 11 years of age, either out of school or enrolled in public primary schools. 9,224 observations. Mean for attendance is calculated on a 87% sub-sample of children enrolled in public primary school. Means for reading, math and writing are calculated on a 78% sub-sample of children that took a learning test.

TABLE 12. OLS: Enrollment, Heterogeneous Treatment Effects, Attendance and Learning

|                      | (1)                | (2)                | (3)                | (4)                | (5)                | (6)             | (7)             | (8)             |
|----------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-----------------|-----------------|-----------------|
|                      | Enrollment         | Caste              | Income             | Gender             | Attendance         | Reading         | Math            | Writing         |
| MDM ( $\lambda$ )    | 0.108***<br>(0.03) |                    |                    |                    | 2.592***<br>(0.69) | 0.004<br>(0.04) | 0.015<br>(0.04) | 0.006<br>(0.05) |
| MDM x Upper Castes   |                    | 0.057**<br>(0.02)  |                    |                    |                    |                 |                 |                 |
| MDM x OBC            |                    | 0.076**<br>(0.04)  |                    |                    |                    |                 |                 |                 |
| MDM x SC\ST          |                    | 0.115***<br>(0.03) |                    |                    |                    |                 |                 |                 |
| MDM x Other          |                    | 0.193***<br>(0.06) |                    |                    |                    |                 |                 |                 |
| MDM x Top Income     |                    |                    | 0.030**<br>(0.01)  |                    |                    |                 |                 |                 |
| MDM x Highmid Income |                    |                    | 0.128***<br>(0.03) |                    |                    |                 |                 |                 |
| MDM x Lowmid Income  |                    |                    | 0.123***<br>(0.02) |                    |                    |                 |                 |                 |
| MDM x Low Income     |                    |                    | 0.106**<br>(0.05)  |                    |                    |                 |                 |                 |
| MDM x Female         |                    |                    |                    | 0.123***<br>(0.04) |                    |                 |                 |                 |
| MDM x Male           |                    |                    |                    | 0.093***<br>(0.02) |                    |                 |                 |                 |
| Controls             | YES                | YES                | YES                | YES                | YES                | YES             | YES             | YES             |
| Obs.                 | 9,224              | 9,224              | 9,224              | 9,224              | 7,984              | 6,644           | 6,631           | 6,594           |
| Adj. $R^2$           | 0.12               | 0.12               | 0.12               | 0.12               | 0.04               | 0.08            | 0.11            | 0.09            |

*Note.* Robust standard errors in parentheses clustered at the state level. The dependent variable in Columns 1-4 is a dummy equal to unity if a child is enrolled in school. *Attendance* refers to how many hours in school a child was present in the past week. In Columns 6-7 the dependent variables are dummy variables equal to unity if a child can read, do simple math or write. *Controls* included are gender, age, household size, caste dummies, income, dummies for mother and father's education. Sample: 8-11 year-olds that are either out of school or enrolled in a public primary school (Columns 1-4), sub-sample of Column 1 sample of children that are enrolled in a public primary school (Column 5), sub-sample of Column 1 sample of children that took the learning test (columns 6-8). \*  $p < 0.10$ , \*\*  $p < 0.05$ , \*\*\*  $p < 0.01$

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## APPENDIX A. MIDDAY MEAL IMPLEMENTATION IN PUBLIC PRIMARY SCHOOLS

| State            | Implementation Date                   | Midday Meal Content  |
|------------------|---------------------------------------|--|
| Andhra Pradesh   | January 2003                          | Rice, sambhar, egg/banana twice a week   |
| Assam            | January 2005                          | Rice, dal, vegetables  |
| Bihar            | September 2004(Pilot)<br>January 2005 | Rice with sabji, dal, pulao, karhi or khichri  |
| Chhattisgarh     | April 2002                            | Rice with dal or vegetables  |
| Gujarat          | November 1984                         | Wheat, rice, pulses, oil, spices   |
| Haryana          | August 2004                           | Mitha rice, vegetable pulao, dalia, paushtic khichri or bakli by rotation                              |
| Himachal Pradesh | September 2004                        | Grains, seasonal vegetables, fruit, eggs   |
| Karnataka        | July 2002(Pilot)<br>June 2003         | Rice, pulses, oil, salt, vegetables  |
| Madhya Pradesh   | July 2003                             | Dal-roti/dal-sabji (in wheat predominant areas) or dal-rice/dal-rice-sabji (in rice predominant areas) |
| Maharashtra      | January 2003                          | Rice, dal, vegetables, spices, oil, banana/egg at least once a week                                    |
| Orissa           | June 2001(Pilot)<br>September 2004    | Rice, dal, egg/soya twice a week   |
| Rajasthan        | July 2002                             | Ghooghari (mixture of gur/jaggery and boiled wheat), dalia   |
| Tamil Nadu       | July 1982                             | Rice, eggs, boiled potatoes, cooked black bengal, vegetables with variation                            |
| Uttar Pradesh    | September 2004                        | Food grains, pulses, oil, salt, spices   |

Uttaranchal                      November 2002 - July 2003      Rice, dal, kheer, fruits and eggs alternately

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The information provided in this table was drawn from state government documents listed in *a*, and then verified and cross-checked using more than one independent source listed in *b-e*). *Sources of information are:*

*a.* state government documents: The National Programme of Midday Meal in Schools, Annual Work Plan and Budget, 2009-10'; *b.* planning commission: Program Evaluation Organization (2010): 'Performance Evaluation of Cooked Mid-Day Meal', Planning Commission; independent monitors: the 6 reports of the Commissioner of India on the Writ Petition 196 of 2001 (PUCL vs. Union of India and Others); *c.* independent auditors: Civil Performance Audit Reports from 2007 and 2008 of the Comptroller and Auditor General of India (for Andhra Pradesh, Assam, Bihar, Chhattisgarh, Gujarat, Haryana, Kerala, Madhya Pradesh, Orissa, Uttar Pradesh, Uttaranchal); National University of Educational Planning and Administration, New Delhi, Study of best practices in: Andhra Pradesh by Y. Josephine, Assam by VPRS. Raju, Haryana by M. Narula, Karnataka by K. Srinivas, Maharashtra by S. Chugh, Orissa by S.K. Malik, Rajasthan by S. Kaushal, Uttar Pradesh by K. Wizarat; *d.* field surveys: Kumar P. and Sood T. (2005): 'Bihar: Mid-day Meal Survey Report'. Right to food campaign, Afridi F. (2005): 'Mid-day Meals: A Comparison of the Financial and Institutional Organization of the Program in Two States (Madhya Pradesh and Karnataka). Economic and Political Weekly, Robinson F. (2007) 'The Mid-Day Meal Scheme In Four Districts of Madhya Pradesh'. Jawaharlal Nehru University The Hunger Project, CUTS Center for Consumer Action, Research & Training (CART) and World Bank (2007): 'An assessment of the Mid-Day Meal Scheme in Chittorgarh District (Rajasthan)'; *e.* selected news articles and reports: Chettiparambil-Rajan A. (2007): 'India: A desk review of the Midday Meal Programme' World Food Programme, Khara R. (2006): 'Mid-Day Meals in Primary Schools: Achievements and Challenges' Economic and Political Weekly, Parikh K. and Yasmeen S. (2004): 'Groundswell for mid-day meal scheme' India Together, Dreze J. and Goyal A. (2003): 'The Future of Mid-day Meals' Economic and Political Weekly, R. Anuradha (2003): 'Nutrition Schemes in Tamil Nadu' UNDP, Khara R. (2002): 'Mid-day Meals in Rajasthan' The Hindu.









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